

LA9A3-20 International Corporate Governance & Financial Regulation

26/27

Department

School of Law

Level

Taught Postgraduate Level

Module leader

David Gindis

Credit value

20

Module duration

10 weeks

Assessment

100% exam

Study location

University of Warwick main campus, Coventry

Description

Introductory description

The module offers a comprehensive, critical, contextual, and interdisciplinary analysis of the key questions underpinning the contemporary corporate governance debate.

[Module web page](#)

Module aims

The module aims to provide students with a set of conceptual and practical tools to help them understand and analyse the key issues pertaining to corporate governance and financial regulation. While the module focuses on the UK case, it invites students to consider corporate governance and financial regulation critically from the international and comparative perspectives.

Outline syllabus

This is an indicative module outline only to give an indication of the sort of topics that may be covered. Actual sessions held may differ.

A brief history of corporate governance.
Shareholder primacy vs stakeholder-oriented regimes.
Corporate governance codes and the convergence debate.
Integrated reporting and shareholder stewardship.
Soft vs hard law approaches to regulation.
Regulatory actors and polycentric regulation.
Enforcement and compliance.
Governing executive compensation: the case of bankers' pay.
Who regulates the financial regulators?

Learning outcomes

By the end of the module, students should be able to:

- Understand how corporate governance has developed over the past 50 years
- Critically evaluate recent developments in the public debate about corporate governance and assess how companies, financial institutions, and regulators have responded
- Appreciate the variety of corporate governance systems around the world
- Engage in critical analysis of the convergence debate and explain why variety is likely to persist
- Understand the different forms of regulation and critically evaluate the conditions of their success
- Recognise the limits of state-centred views of regulation and appreciate the polycentric nature of regulation
- Assess the mechanisms enabling society to hold regulators to account
- Combine insights from legal, economics, management, and political science literatures

Indicative reading list

[Specific reading list for the module](#)

Subject specific skills

Understand and evaluate current trends in corporate governance and practice.
Enhance independent critical thinking about current legal and policy issues in corporate governance.
Identify and the most appropriate sources in corporate governance research.

Transferable skills

Develop independent, analytical, and critical thinking skills.
Improve ability to evaluate and communicate complex ideas.
Enhance ability to develop, structure, and deliver persuasive lines of argument.
Mobilise multiple source materials in the service of a sustained analytical argument.
Work unsupervised as the main mode of work.
Work in a team and be sympathetic to other contributions.

Study

Study time

Type	Required
Seminars	9 sessions of 3 hours (14%)
Private study	133 hours (66%)
Assessment	40 hours (20%)
Total	200 hours

Private study description

No private study requirements defined for this module.

Costs

No further costs have been identified for this module.

Assessment

You must pass all assessment components to pass the module.

Students can register for this module without taking any assessment.

Assessment group B3

	Weighting	Study time	Eligible for self-certification
Assessment component			
Written Examination Paper	100%	40 hours	No
3 essay-style critical reflection questions. Maximum word count: 3750 words. 15 minutes' Reading Time.			

Reassessment component is the same

Feedback on assessment

Individual written feedback and general (cohort) feedback (standard Law School policy).

[Past exam papers for LA9A3](#)

Availability

Courses

This module is Core for:

- Year 1 of TLAS-M221 Postgraduate Taught LLM in International Corporate Governance and Financial Regulation

This module is Optional for:

- TLAA-M3PJ Postgraduate Taught Advanced Legal Studies
 - Year 1 of M3PJ Advanced Legal Studies
 - Year 2 of M3PJ Advanced Legal Studies
- TLAA-M223 Postgraduate Taught International Commercial Law
 - Year 1 of M223 International Commercial Law
 - Year 2 of M223 International Commercial Law
- TLAS-M3P7 Postgraduate Taught International Economic Law
 - Year 1 of M3P7 International Economic Law
 - Year 2 of M3P7 International Economic Law