

# LA9A3-20 International Corporate Governance & Financial Regulation

**24/25**

**Department**

School of Law

**Level**

Taught Postgraduate Level

**Module leader**

David Gindis

**Credit value**

20

**Module duration**

10 weeks

**Assessment**

100% exam

**Study location**

University of Warwick main campus, Coventry

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## Description

### Introductory description

The module offers a comprehensive, critical, contextual, and interdisciplinary analysis of the key questions underpinning the contemporary corporate governance debate.

[Module web page](#)

### Module aims

The module aims to provide students with a set of conceptual and practical tools to help them understand and analyse the key issues pertaining to corporate governance and financial regulation. While the module focuses on the UK case, it invites students to consider corporate governance and financial regulation critically from the international and comparative perspectives.

### Outline syllabus

This is an indicative module outline only to give an indication of the sort of topics that may be covered. Actual sessions held may differ.

A brief history of corporate governance.

Shareholder primacy vs stakeholder-oriented regimes.  
Corporate governance codes and the convergence debate.  
Integrated reporting and shareholder stewardship.  
Soft vs hard law approaches to regulation.  
Regulatory actors and polycentric regulation.  
Enforcement and compliance.  
Governing executive compensation: the case of bankers' pay.  
Who regulates the financial regulators?

## **Learning outcomes**

By the end of the module, students should be able to:

- Understand how corporate governance has developed over the past 50 years
- Critically evaluate recent developments in the public debate about corporate governance and assess how companies, financial institutions, and regulators have responded
- Appreciate the variety of corporate governance systems around the world
- Engage in critical analysis of the convergence debate and explain why variety is likely to persist
- Understand the different forms of regulation and critically evaluate the conditions of their success
- Recognise the limits of state-centred views of regulation and appreciate the polycentric nature of regulation
- Assess the mechanisms enabling society to hold regulators to account
- Combine insights from legal, economics, management, and political science literatures

## **Indicative reading list**

[View reading list on Talis Aspire](#)

## **Subject specific skills**

Understand and evaluate current trends in corporate governance and practice.  
Enhance independent critical thinking about current legal and policy issues in corporate governance.  
Identify and the most appropriate sources in corporate governance research.

## **Transferable skills**

Develop independent, analytical, and critical thinking skills.  
Improve ability to evaluate and communicate complex ideas.  
Enhance ability to develop, structure, and deliver persuasive lines of argument.  
Mobilise multiple source materials in the service of a sustained analytical argument.  
Work unsupervised as the main mode of work.  
Work in a team and be sympathetic to other contributions.

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# Study

## Study time

Type	Required
Seminars	9 sessions of 3 hours (14%)
Private study	133 hours (66%)
Assessment	40 hours (20%)
Total	200 hours

## Private study description

No private study requirements defined for this module.

## Costs

No further costs have been identified for this module.

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## Assessment

You must pass all assessment components to pass the module.

Students can register for this module without taking any assessment.

## Assessment group B2

	Weighting	Study time
Written Examination Paper	100%	40 hours

3 essay-style critical reflection questions. Maximum word count: 3750 words.

~Platforms - Submission Through Tabula Assignment Management

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- Online examination: No Answerbook required

## Feedback on assessment

Individual written feedback and general (cohort) feedback (standard Law School policy).

[Past exam papers for LA9A3](#)

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# Availability

## Courses

This module is Core for:

- Year 1 of TLAS-M221 Postgraduate Taught LLM in International Corporate Governance and Financial Regulation

This module is Core optional for:

- Year 1 of TLAS-M221 Postgraduate Taught LLM in International Corporate Governance and Financial Regulation

This module is Optional for:

- Year 1 of TIMA-L981 Postgraduate Social Science Research
- Year 1 of TLAA-M3PJ Postgraduate Taught Advanced Legal Studies
- Year 1 of TLAS-M3P7 Postgraduate Taught International Economic Law

This module is Core option list A for:

- Year 1 of TLAA-M3PJ Postgraduate Taught Advanced Legal Studies
- Year 1 of TLAA-M223 Postgraduate Taught International Commercial Law
- Year 1 of TLAS-M3P7 Postgraduate Taught International Economic Law

This module is Option list A for:

- Year 1 of TLAA-M223 Postgraduate Taught International Commercial Law

This module is Option list C for:

- TPOS-M9PE Double MA in Politics and International Studies (with NTU Singapore)
  - Year 1 of M91F Globalisation and Development (Double Degree - NTU)
  - Year 1 of M91L International Development (Double Degree - NTU)
  - Year 1 of M91B International Political Economy (Double Degree - NTU)
  - Year 1 of M91C International Politics and East Asia (Double Degree - NTU)
  - Year 1 of M91D International Politics and Europe (Double Degree - NTU)
  - Year 1 of M91G International Security (Double Degree - NTU)
  - Year 1 of M91K Political and Legal Theory (Double Degree - NTU)
  - Year 1 of M91J United States Foreign Policy (Double Degree - NTU)
  - Year 2 of M91L International Development (Double Degree - NTU)
  - Year 2 of M91B International Political Economy (Double Degree - NTU)
  - Year 2 of M91C International Politics and East Asia (Double Degree - NTU)
- TPOS-M9PP Double MA in Politics and International Studies (with Universität Konstanz, Germany)
  - Year 1 of M92L International Development (Double Degree - Konstanz)
  - Year 1 of M92B International Political Economy (Double Degree - Konstanz)

- Year 1 of M92C International Politics and East Asia (Double Degree - Konstanz)
- Year 1 of M92D International Politics and Europe (Double Degree - Konstanz)
- Year 1 of M92E International Relations (Double Degree - Konstanz)
- Year 1 of M92G International Security (Double Degree - Konstanz)
- Year 1 of M92K Political and Legal Theory (Double Degree - Konstanz)
- Year 1 of M92H Public Policy (Double Degree - Konstanz)
- Year 2 of M92B International Political Economy (Double Degree - Konstanz)
- Year 2 of M92C International Politics and East Asia (Double Degree - Konstanz)
- Year 2 of M92D International Politics and Europe (Double Degree - Konstanz)
- Year 2 of M92E International Relations (Double Degree - Konstanz)
- Year 2 of M92G International Security (Double Degree - Konstanz)
- Year 2 of M92K Political and Legal Theory (Double Degree - Konstanz)
- Year 2 of M92H Public Policy (Double Degree - Konstanz)
- Year 2 of TPOS-M9PT MA in International Development
- Year 2 of TPOS-M1P8 Postgraduate Taught International Politics and East Asia
- Year 2 of TPOS-M9PS Postgraduate Taught Political and Legal Theory
- Year 2 of TPOS-M9PQ Postgraduate Taught United States Foreign Policy